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SAIGON – HANOI SECURITIES JSC

No: 12-2024/QD-HDQT

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, March 28th, 2024

DECISION

(Regarding dismission from the concurrent position of SHS Chief Accountant)

THE BOARD OF DIRECTORS OF SAIGON – HANOI SECURITIES JSC

Based on:

- *Law on Enterprises No.59/2020/QH14 on June 17th, 2020 and guiding, amending, supplementing, and enforcing documents;*
- *Law on Securities No.54/2019/QH14 on November 26th, 2019 and guiding, amending, supplementing, and enforcing documents;*
- *Charter of Saigon - Hanoi Securities JSC approved on April 28th, 2023;*
- *Human Resources Management Regulations issued following Decision No.03/QD-HDQT dated December 4th, 2007 of the SHS Board of Directors,*

HEREBY DECIDE:

Article 1. To dismiss the concurrent position of **Chief Accountant of Saigon - Hanoi Securities Joint Stock Company (SHS) from Mr. Tien, Tran Sy – SHS Deputy CEO**.

Article 2. The responsibilities of Mr. Tien, Tran Sy – **as the Deputy CEO** of Saigon - Hanoi Securities JSC are as follows:

2.1. Continue to advise and assist SHS CEO and perform tasks as assigned by the CEO; Be responsible to the CEO and the law for the implementation of assigned authorities and responsibilities according to the provisions of SHS Charter, Operational Regulations of the Executive Board, internal documents of the Company and relevant laws.

2.2. Keep information confidential, hand over all work, records, documents, books, and assets assigned by the Company and pay debts (if any) related to the position of SHS Chief Accountant to the Officer appointed by the CEO to receive the handover from Mr. Tien, Tran Sy.

2.3. Be responsible to the CEO and the law for all work performed related to the position of Chief Accountant of SHS during the concurrent period.

Article 3. Salary and other benefits of Mr. Tien, Tran Sy are applied according to the BOD's Decision, Salary Regulations, internal regulations of the Company and relevant laws.

Article 4. This decision shall take effect from the date of signing.

All members of the Executive Board and relevant Departments, Divisions and staffs of Saigon - Hanoi Securities JSC (SHS) and Mr. Tien, Tran Sy are responsible for implementing this decision./.

Recipients:
- *As Article 4 refers;*
- *SHS Supervisory Board (for supervision);*
- *Publicly announced;*
- *Archived at SHS BOD's office, Administrative Dept.;*

On behalf of SHS Board of Directors
CHAIRMAN
(signed)
VINH, DO QUANG (Mr.)