

**CÔNG TY CỔ PHẦN CHỨNG KHOÁN  
SÀI GÒN – HÀ NỘI  
SAIGON – HA NOI SECURITIES JSC.**

Số/No: 457/2026/CV - SHS  
(Vv: Sửa đổi, bổ sung tài liệu họp ĐHĐCĐ  
thường niên năm 2026)

Ref: Revision and supplementation of documents  
for the 2026 Annual General Meeting of  
Shareholders)

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM  
THE SOCIALIST REPUBLIC OF VIETNAM  
Độc lập – Tự do – Hạnh phúc  
Independence - Freedom - Happiness**

Hà Nội, ngày 07 tháng 04 năm 2026  
Hanoi, April 07, 2026

**CÔNG BỐ THÔNG TIN TRÊN CÔNG THÔNG TIN ĐIỆN TỬ CỦA  
ỦY BAN CHỨNG KHOÁN NHÀ NƯỚC VÀ CÁC SỞ GIAO DỊCH CHỨNG KHOÁN  
INFORMATION DISCLOSURE ON ELECTRONIC PORTAL  
OF THE STATE SECURITIES COMMISSION AND STOCK EXCHANGES**

**Kính gửi/To: Ủy ban Chứng khoán Nhà nước/The State Securities Commission  
Sở Giao dịch Chứng khoán Việt Nam/ Vietnam Stock Exchange  
Sở Giao dịch Chứng khoán Hà Nội/Hanoi Stock Exchange  
Sở Giao dịch Chứng khoán Tp. Hồ Chí Minh/Ho Chi Minh City Stock  
Exchange**

1. Tên công ty: Công ty Cổ phần Chứng khoán Sài Gòn - Hà Nội

Organization name: **Saigon - Hanoi Securities JSC**

2. Mã chứng khoán/Securities Code: SHS

3. Mã thành viên/Member code: 069

4. Địa chỉ trụ sở: Số 43 Phố Lý Thường Kiệt, Phường Cửa Nam, Thành phố Hà Nội

Headquarters address: No.43 Ly Thuong Kiet Street, Cua Nam Ward, Hanoi City

5. Điện thoại/Telephone: +84 24.3818 1888

Fax/Fax: 84-24.38.181688

6. Người thực hiện công bố thông tin: Bùi Thị Hồng Hạnh – Người được ủy quyền công bố thông tin

Spokesman: *Bui Thi Hong Hanh – Person authorized to disclose information*

7. Địa chỉ/Address: Số 43 Phố Lý Thường Kiệt, Phường Cửa Nam, Thành phố Hà Nội

Address: No.43 Ly Thuong Kiet Street, Cua Nam Ward, Hanoi City

8. Điện thoại (di động, cơ quan, nhà riêng)/Tel: 024.38 181.888 Fax: 024.38 181.688

9. Loại thông tin công bố/Information disclosure: Định kỳ/Periodicity

10. Nội dung của thông tin công bố/Contents of information disclosure:

Công ty CP Chứng khoán Sài Gòn – Hà Nội công bố thông tin về việc sửa đổi, bổ sung tài liệu họp ĐHĐCĐ thường niên năm 2026.

*Saigon - Hanoi Securities Joint Stock Company announces the revision and supplementation of documents for the 2026 Annual General Meeting of Shareholders.*

11. Địa chỉ website đăng tải thông tin công bố/Website address where information is posted:

Thông tin này đã được công bố trên Trang thông tin điện tử của Công ty vào ngày 07/04/2026 tại đường dẫn.

*This information was published on the Company's website on April 07, 2026, at the following link:*

<https://www.shs.com.vn/cong-bo-thong-tin/shs-cbtt-ve-viec-sua-doi-bo-sung-tai-lieu-hop-dhdcd-thuong-nien-nam-2026>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung thông tin công bố.

*We hereby certify that the information provided above is true and accurate, and we take full responsibility before the law for the disclosed information.*

Trân trọng/Best regards,

**Tài liệu kèm theo/Attached documents:**

- Theo file đính kèm/As per attached file

**CÔNG TY CỔ PHẦN CHỨNG KHOÁN SÀI GÒN – HÀ NỘI**  
**SAIGON – HA NOI SECURITIES JSC**  
Person authorized to disclose information



**BÙI THỊ HỒNG HẠNH**

Hà Nội, ngày 07 tháng 04 năm 2026  
Hanoi, April 07, 2026

**QUYẾT ĐỊNH  
DECISION**

**(Về: Bổ sung nội dung tài liệu Phiên họp ĐHĐCĐ thường niên năm 2026)**

**(Re: Supplementation of Documents**

**for the Annual General Meeting of Shareholders 2026)**

**CHỦ TỊCH HỘI ĐỒNG QUẢN TRỊ**

**CÔNG TY CỔ PHẦN CHỨNG KHOÁN SÀI GÒN – HÀ NỘI  
THE CHAIRMAN OF BOARD OF DIRECTORS  
SAIGON – HANOI SECURITIES JOINT STOCK COMPANY**

Căn cứ/ Pursuant to:

- Luật Doanh nghiệp số 59/2020/QH14 ngày 17/6/2020 và các văn bản sửa đổi, bổ sung, hướng dẫn thi hành;  
*The Law on Enterprises no. 59/2020/QH14 dated June 17, 2020, and its amending, supplementing documents, and guiding implementation documents;*
- Luật Chứng khoán số 54/2019/QH14 ngày 26/11/2019 và các văn bản sửa đổi, bổ sung, hướng dẫn thi hành;  
*The Law on Securities no. 54/2019/QH14 dated November 26, 2019, and its amending, supplementing documents, and guiding implementation documents;*
- Điều lệ Công ty Cổ phần Chứng khoán Sài Gòn – Hà Nội hiện hành;  
*The applicable Charter of Sai Gon – Ha Noi Securities Joint Stock Company;*
- Nghị quyết số 01/2026/NQ-HĐQT ngày 12/02/2026 của Hội đồng Quản trị về việc tổ chức phiên họp Đại hội đồng Cổ đông thường niên năm 2026;  
*The Resolution no. 01/2026/NQ-HĐQT dated February 12, 2026 of the Board of Directors regarding the organization of the Annual General Meeting of Shareholders;*
- Quyết định số 19/2026/QĐ-HĐQT ngày 26/03/2026 của Hội đồng Quản trị về việc phê duyệt Chương trình, nội dung tài liệu phục vụ họp, triệu tập Phiên họp ĐHĐCĐ thường niên năm 2026;  
*The Decision no. 19/2026/QĐ-HĐQT dated March 26, 2026 of the Board of Directors on the approval of the Agenda, contents, and documents for the Annual General Meeting of Shareholders 2026;*
- Xét đề nghị của Tổng Giám đốc – Trưởng Ban Tổ chức Đại hội đồng Cổ đông thường niên năm 2026 tại Tờ trình 08/2026/TTr-SHS ngày 31/03/2026 và Tờ trình 09/2026/TTr-SHS ngày 07/04/2026.  
*Considering the Proposal submitted by the Chief Executive Officer - Head of the Organizing Committee of Annual General Meeting of Shareholders 2026 no.*

08/2026/TTr-SHS dated March 31, 2026 and the Proposal no. 09/2026 dated April 07, 2026.

## QUYẾT ĐỊNH:

### DECIDES

**Điều 1:** Thông qua việc bổ sung tài liệu Phiên họp Đại hội đồng Cổ đông (ĐHĐCĐ) thường niên năm 2026 và thông qua tài liệu bổ sung nội dung của Công ty Cổ phần Chứng khoán Sài Gòn – Hà Nội (Công ty/SHS), cụ thể như sau:

**Article 1:** *To approve the supplementation of the Documents for the Annual General Meeting of Shareholders (AGM) 2026 and to adopt the supplementary Documents of Saigon - Hanoi Securities Joint Stock Company (the Company/SHS), as follows:*

1. Thông qua việc bổ sung thông tin về Báo cáo phát hành riêng lẻ trái phiếu SHS năm 2025 trong Báo cáo hoạt động của Hội đồng Quản trị (HĐQT), thành viên HĐQT năm 2025 và định hướng năm 2026 trình ĐHĐCĐ thường niên năm 2026 của Công ty.

*To approve the inclusion of a disclosure on the Report on 2025 SHS Bonds private placement within the Report on Activities of the Board of Directors, Board Members in 2025 and direction for 2026, to be submitted to the AGM 2026.*

2. Thông qua Báo cáo hoạt động của HĐQT, thành viên HĐQT năm 2025 và định hướng năm 2026 của Công ty đính kèm Quyết định này, thay thế cho Báo cáo hoạt động của HĐQT, thành viên HĐQT năm 2025 và định hướng năm 2026 ngày 26/3/2026.

*To adopt the Report on Activities of the Board of Directors in 2025 and direction for 2026, as attached hereto, which replaces the Report on Activities of the Board of Directors in 2025 and direction for 2026 dated March 26, 2026.*

3. Thông qua việc bổ sung Tờ trình số 11/2026/TTr-ĐHĐCĐ về Danh sách ứng viên tham gia bầu bổ sung thành viên HĐQT nhiệm kỳ 2022 – 2027 như đính kèm Quyết định này.

*To approve the supplementation of Proposal no. 11 /2026/TTr-ĐHĐCĐ regarding the List of candidates for additional election to the Board of Directors for the 2022–2027 term, as attached hereto.*

**Điều 2:** Quyết định này có hiệu lực kể từ ngày ký.

**Article 2:** *This Decision shall take effect from the date of signing.*

**Điều 3:** Tổng Giám đốc, Ban Tổ chức ĐHĐCĐ thường niên năm 2026 và các đơn vị, cá nhân khác liên quan thuộc Công ty Cổ phần Chứng khoán Sài Gòn – Hà Nội chịu trách nhiệm thi hành Quyết định này.

**Article 3:** *The Chief Executive Officer, the Organizing Committee of the AGM 2026, relevant units and individuals of Sai Gon – Ha Noi Securities Joint Stock Company are responsible for implementing this Decision.*

**CHỦ TỊCH HỘI ĐỒNG QUẢN TRỊ  
CHAIRMAN OF THE BOARD OF DIRECTORS**

Nơi nhận/Recipients:

- Như Điều 3/As per Article 3;
- CBTT/Information Disclosure;
- Lưu VP HĐQT/Archived: BOD's Office



**ĐỖ QUANG VINH**



*Hanoi, April 07, 2026*

## **REPORT ON THE ACTIVITIES OF THE BOARD OF DIRECTORS AND BOARD MEMBERS IN 2025 AND DIRECTION FOR 2026**

**To: Valued Shareholders of Saigon - Hanoi Securities Joint Stock Company**

The Board of Directors of Saigon - Hanoi Securities Joint Stock Company (Company/SHS) would like to report to General Meeting of Shareholders about 2025 Board of Directors's activities and direction for 2026 as below:

### **A. Company Information**

#### **- Company management structure:**

- From May 15, 2024 – present: The Company has operated under a governance structure comprising General Meeting of Shareholders (GMS), Board of Directors (BOD), Audit Committee (AC), Chief Executive Officer (CEO) with Board of Management (BOM) in accordance with Point b, Clause 1, Article 137 of the Law on Enterprises 2020.
- In 2025, SHS BOD has 05 (five) members, including 01 (one) BOD independent member. The BOD's current structure is balanced and consistent with the Company's business orientation. The members have experience, knowledge, understanding of management, law, finance, securities and are consistent with the structure prescribed by law, ensuring independence and objectivity. The independent BOD's member has completed the training course and obtained a certificate in corporate governance issued by the State Securities Commission of Vietnam (SSC).
- Compared to 2024, SHS delivered outstanding business performance in 2025. The Company recorded full-year profit before tax of VND 1,649.4 billion, representing a 33.1% increase year-over-year and exceeding the plan approved by the GMS by 20.5%. As of December 31, 2025, total assets reached VND 23,031.9 billion, up 64.2% compared to 2024, while equity amounted to VND 12,602.3 billion, accounting for 54.7% of total capital. Earnings per share (EPS) stood at VND 1,500, with return on average equity (ROAE) of 11.3% and return on average assets (ROAA) of 7.2%.

### **B. Report on BOD's activities**

#### **1. Summary of meetings and decisions of the BOD**

- In 2025, the BOD held 89 formal meetings, including: regular meetings by quarter and extraordinary/specialized meetings for discussion and approval of matters within its jurisdiction, summoning and participating in the Annual GMS 2025, the attendance and proxy attendance rates reached 100% for all meetings.
- The BOD approved 11 Resolutions and 93 Decisions to implement GMS' Resolutions as well as perform other content under the BOD's authority. The list of BOD's Resolutions and Decisions has been presented in the Report on Corporate Governance for 2025 dated January 30, 2026.

**2. Report on implementation of GMS' Resolution no. 01-2025/NQ-ĐHĐCĐ**

No	Content	Results
1.	Implementation of the offering/issuance and listing of covered warrants.	In 2025, the Company did not offer or issue covered warrants nor list any covered warrants.
2.	Plan of implementing clearing service activity, securities transaction payment by central clearing partner and offering financial products at SHS.	<ul style="list-style-type: none"> <li>• Clearing service activity, securities transaction payment by central clearing partner has not been implemented yet because the State management agency has not yet implemented.</li> <li>• Financial products offering activity was not implemented.</li> </ul>
3.	Plan of SHS bond listing and public offering in 2025.	In 2025, the Company did not offer Bond to public.

Other matters of the Resolution No. 01-2025/NQ-ĐHĐCĐ, including: The appropriation of funds and profit distribution for 2024; the increase of charter capital by issuing shares under the SHS Employee Stock Ownership Plan (ESOP); the relocation of the Company's head office; amendments to the Charter and regulations under the GMS' authority; and the execution and implementation of contracts, agreements and transactions with related parties, have been duly implemented by the Company in accordance with applicable laws and the Resolution No. 01-2025/NQ- ĐHĐCĐ.

**3. Report on the results of share issuance under the issuance plan approved by GMS**

In 2025, in implementing the share issuance plans approved by the GMS under Resolution No. 01-2024/NQ-ĐHĐCĐ dated May 15, 2024 and Resolution No. 01-2025/NQ-ĐHĐCĐ dated April 10, 2025, the Company completed all share issuance tranches, increasing its charter capital from VND 8,131,567,480,000 to VND 8,994,622,200,000, as detailed below:

No.	Issuance Plan	Number of Shares approved for issuance by GMS (Shares)	Number of Shares successfully issued (Shares)	SHS Charter Capital after issuance (VND)
1.	Issuance of shares as dividend payment for 2023 (ratio 100:5) to existing shareholders	40,657,838	40,652,736	8,538,094,840,000
2.	Issuance of shares to increase equity capital from owner's equity (ratio 100:5) to existing shareholders	40,657,838	40,652,736	8,944,622,200,000
3.	Issuance of shares under the Employee Stock Ownership Plan (ESOP) 2025	5,000,000	5,000,000	8,994,622,200,000
	<b>TOTAL</b>	<b>86,315,676</b>	<b>86,305,472</b>	<b>8,994,622,200,000</b>

**4. Report on transactions between the company, subsidiaries, companies in which SHS controls more than 50% of the charter capital with the BOD member and related persons of that member; transactions between SHS and companies in which the BOD's member is a founder or a manager within the last 3 years prior to the transaction date**

These transactions have been disclosed by the Company in the Report on Corporate Governance for 2025 dated January 30, 2026. The transactions primarily relate to securities brokerage operations within the scope permitted by law, and have been reported and disclosed in accordance with applicable regulations.

In Q1 2026, the BOD issued 02 Decisions approving the transactions between SHS and SHB, including: (i) purchase and sale of valuable papers with a maximum total contract value of VND 10,000 billion; and (ii) transactions related to the purchase and sale of Government Bonds, valuable papers, and the provision of advisory services for the issuance of Certificates of Deposit, with an expected maximum total transaction value of VND 150,020 billion.

**5. Activities of Independent BOD's Member and evaluation results of Independent BOD's Members regarding the BOD's operation**

In 2025, the BOD carried out its governance, strategic direction, and oversight functions in accordance with applicable laws, the Company's Charter, and relevant internal regulations, contributing to ensuring that the Company's operations were conducted safely, efficiently, and in alignment with the strategic orientations approved by the GMS.

Details regarding the activities of the Independent BOD's Members and their assessment of the BOD's performance have been compiled and presented in the Report on the Activities of the Independent BOD Members in the Audit Committee and the BOD's activities for 2025, which forms part of the documentation submitted to the Company's Annual GMS 2026.

**6. Activities of Audit Committee under BOD**

- In 2025, the AC held 06 meetings with a 100% attendance rate.
- **The AC reviewed and evaluated the financial statements, operations, and financial situation of the Company:** Reviewed the data in the Company's 2025 Financial Statements and 2025 Prudential Ratio statement.
- **AC already reviewed:** transactions between the company, subsidiaries, companies in which SHS controls from 50% of the charter capital with the BOD's member and related persons of that member; transactions between SHS and companies in which the BOD's member is a founder or a manager within the last 3 years prior to the transaction date: SHS did not have subsidiaries, companies in which SHS controls from 50% or more of the charter capital. Therefore, SHS did not have transactions with these above entities.
- **The AC performed an assessment of the Company's internal control and risk management system:** In 2025, the BOM highly focused to internal control and risk management, especially in investment, brokerage, and financial services activities to minimize risks for the Company.

**7. Report on the activities of each BOD member and other sub-committees under the BOD**

- SHS BOD's Members fully performed their functions, duties, and authorities as stipulated in the Company's Charter and internal regulations; and participated in the management and supervision of overall operations across their respective assigned areas, ensuring the effective implementation of the Company's plans and activities in alignment with the established orientations.
- In addition to the AC, SHS also has a Strategy Committee (SC) operating under the BOD. The SC was established and operates under the Decision No. 39-2024/QĐ-HĐQT dated July 23, 2024, whereby SC members include BOD's members, Independent BOD's members, and other personnel as determined by the BOD's Chairman in accordance with each stage of the Company's development.

- In 2025, the SC played a pivotal role in advising on and shaping the Company's vision, mission, and long-term strategic objectives. Notably, the SC proactively proposed and finalized the key strategy "**THE NEXT LEVEL**" as a guiding framework for the next phase of development, thereby clearly defining strategic priorities and sustainable growth orientations. In addition, the SC actively advised the BOD on organizational consolidation, including the recruitment and appointment of key personnel to meet the requirements of the new strategy implementation. The SC also provided direction for technology development as a key pillar, contributing to enhancing the Company's competitiveness and driving the transformation of its operating model.
- Beyond its planning role, the SC also effectively carried out its monitoring and evaluation functions, assessing the implementation of business and investment strategies across different market phases, thereby contributing to ensuring the Company achieved and exceeded its 2025 business plan approved by the GMS.

#### **8. Supervision result of BOD with CEO and other Executives**

- The BOD directed and supervised the CEO and other executives in implementing the GMS' Resolutions as well as the Resolutions/Decisions of the BOD, including: implementing the 2025 business plan; preparing and finalizing the 2026 business plan to submit to the Annual GMS; accelerating the update and upgrade of the information technology system; researching and developing new business products and services; as well as reviewing the system, strengthening safety assurance and proactively managing risks across the Company.
- The BOD highly appreciates and acknowledges the efforts of the CEO, executives, and all employees in outstanding completion of their assigned tasks. The results achieved are clearly reflected through notable accomplishments, such as the Company being honored in the "**Top 10 Green ESG Enterprises in Vietnam 2025**" in the Finance – Securities sector; and receiving a Certificate of Merit from the Hanoi People's Committee for outstanding performance in connecting small and medium-sized enterprises in the area, actively contributing to the socio-economic development of the Capital in 2025. In particular, the Company recorded strong profit growth in 2025, with total revenue reaching VND 3,673.7 billion and profit before tax reaching VND 1,649.4 billion, exceeding the GMS-approved targets by 62.4% in revenue and 20.5% in profit before tax respectively.

#### **9. Remuneration, operational expenses, and other benefits for the BOD and BOD's member**

- At the Annual GMS 2025, shareholders approved the Budget Plan with the total remuneration, bonuses, allowances and other benefits for the BOD for fiscal year 2025 at a maximum of 01% (one percent) of the Company's profit after tax in 2025 and not exceeding VND 10 billion.
- The total BOD remuneration for 2025 was utilized within the budget approved by the GMS. Details of remuneration for each BOD/AC member are presented in the audited financial statements for 2025, which are submitted to the GMS for approval at the Annual GMS 2026.
- Remuneration, operational expenses, bonuses, allowances and other benefits for each BOD and AC member, as well as salaries and bonuses for the CEO and other executives, are paid by the Company based on business results, in accordance with applicable tax and income regulations, and Resolutions/Decisions of the GMS/BOD, with detailed reports included in the audited financial statements for 2025.

#### **10. Report on SHS Private Bond Issuance in 2025**

In 2025, Company conducted private placements of three bond series: SHS12501, SHS12502, and SHS12503, with the following key details:

- A total of 11,300 bonds were issued, with a par value of VND 100,000,000 per bond, equivalent to a total issuance value of VND 1,130 billion. The bonds have a tenor of one year, with interest rates ranging from 8.0% to 8.2% per annum.
- The proceeds from the bond issuance were used to repay the Company's outstanding liabilities.

- Eligible investors included professional securities investors, comprising both institutional and individual investors, in accordance with regulations applicable to each issuance.
- All relevant documentation and disclosure materials for the private placements were fully published in compliance with regulatory requirements and provided at the General Meeting of Shareholders (GMS).

### C. Plans and direction on activities of 2026

In 2026, the BOD establishes the key strategy "**THE NEXT LEVEL**", directing the entire SHS system to concentrate resources on executing 05 core pillars: **Customer-First Mindset, Competency Development, Technology Investment, Corporate Governance, and Sustainable Development**. SHS sets out the following long-term strategic objectives as follows:

- To become a Top 10 Vietnamese securities company in terms of operational efficiency, with a sustainable business model and governance capabilities approaching international standards.
- To strengthen market position, targeting Top 10 in brokerage market share and progressively advancing toward the Top 5 leading securities companies.
- To develop SHS into a leading financial investment group in Vietnam, providing a comprehensive ecosystem of financial products and services for both individual and institutional clients.

To achieve these objectives, SHS needs to pursue them through the following key action programs:

#### 1. Customer-First Mindset

At SHS, all business strategies and operational processes are guided by **the principles of client prosperity and trust**, with the aim of delivering meaningful and sustainable value:

- **Service Branding:** Reinforcing SHS' brand and market credibility through the standardization and enhancement of service quality across all customer touchpoints.
- **One-Stop Shop Model:** Optimizing investment efficiency through an integrated "all-in-one" financial solution, where clients benefit from a seamless combination of advanced technology platforms and a comprehensive product suite.
- **Innovation and Product Personalization:** Flexibly tailoring existing investment products, while actively researching and expanding into new asset classes (e.g., digital assets, carbon credits), with customized solutions designed to fully meet the diverse needs of each client segment.

#### 2. Competency Development

In 2026, SHS will continue to invest strongly in strengthening its internal capabilities in capital, governance and human resources, as follows:

- **Enhancing capital capacity:** Implementing charter capital increases to expand margin lending capacity and modernize technology infrastructure. SHS will place particular emphasis on attracting long-term strategic foreign investors to strengthen its financial position and proactively capture opportunities arising from the potential upgrade of the Vietnam stock market by FTSE.
- **Standardizing the governance framework:** Elevating risk management and financial governance in line with international standards. Establishing a flexible and disciplined capital allocation mechanism to ensure timely, adequate and efficient capital deployment across all business activities.
- **Developing human resources:** Integrating professional training with tailored executive development programs for each level of personnel. At the same time, establishing clear career pathways and incentive mechanisms to foster innovation among high-potential talent, while strengthening the succession pipeline to sustain long-term competitive advantages.

### 3. Technology Investment

SHS will focus its resources on implementing key technology initiatives to deliver a superior digital experience and optimize operational efficiency:

- **Modernizing infrastructure and applying AI:** Upgrading and synchronizing the securities trading system to ensure compatibility and readiness for KRX implementation. At the same time, accelerating the adoption of AI in operations to enhance processing speed and improve overall efficiency.
- **Developing a comprehensive digital ecosystem:** Enhancing functionalities across digital platforms, including the SHSmart Trading App/Web, SH Advisor and the new-generation corporate website; integrating analytical reports across multiple digital channels to build a dynamic, modern and trend-leading investment environment.
- **Driving internal digitalization:** Building an integrated digital ecosystem equipped with advisory tools, training resources and advanced data analytics accessible on mobile devices. In parallel, the Company will further digitalize internal processes through the enhancement of the SHS Office system and centralized accounting, thereby improving operational efficiency, strengthening cross-functional coordination and enhancing service capabilities for clients and regulatory authorities.

### 4. Corporate Governance

Standardizing the governance system towards greater transparency, efficiency and alignment with advanced standards:

- **Restructuring organizational and governance models:** Transforming towards a service-oriented enterprise, while enhancing KPI frameworks to align with service quality and customer satisfaction.
- **Adopting advanced governance standards:** Integrating recognized frameworks such as the ASEAN Corporate Governance Scorecard, the Corporate Governance Handbook issued by the SSC, and guidelines from IFC and OECD into management practices, thereby strengthening internal controls, enhancing risk management and ensuring financial soundness.
- **Optimizing resources and ecosystem synergies:** Implementing disciplined capital management and allocation, while effectively and transparently leveraging strategic partnerships within the Company's ecosystem and with related stakeholders.

### 5. Sustainable Development

SHS remains committed to green growth, aligning business performance with environmental and social responsibility:

- **Green and sustainable investment strategy:** SHS prioritizes optimizing its portfolio by increasing exposure to clean energy, renewable fuels and green capital markets, as well as to companies with strong financial fundamentals, transparent governance and the ability to attract substantial international capital flows. At the same time, the Company maintains strict capital discipline to preserve and enhance shareholder value.
- **Digital operations:** Delivering on its environmental commitment through the SHS Office system – a “paperless office” model that enables flexible working and decision-making anytime, anywhere, with most documents shared via cloud-based platforms.
- **People-centric culture and community responsibility:** Enhancing compensation policies and corporate culture as a foundation for long-term growth. Concurrently, promoting community initiatives driven by genuine commitment, with a focus on improving financial and securities literacy for investors, thereby contributing to the development of a sustainable and professional capital market.

- **Customer and shareholder commitment:** With a strategic focus on “Service Branding,” SHS is committed to long-term partnership with clients, supporting them in achieving sustainable financial success through tailored advisory services and personalized experiences. For shareholders, SHS consistently strives to safeguard their interests and maximize returns on their investment in the Company.

These plans and strategic directions will be further elaborated by the Chief Executive Officer in the presentation on the Company’s 2026 business plan.

**The BOD would like to report and submit to the GMS for approval.**

**ON BEHALF OF BOARD OF DIRECTORS**

**CHAIRMAN**

**Recipients:**

- As stated above;
- Information Disclosure;
- Archived: BOD’s Office



**DO QUANG VINH**



No: 11 /2026/TTr-ĐHĐCĐ

Hanoi, April 07, 2026

## **PROPOSAL**

**(Re: List of Candidates for Additional Election to the Board of Directors  
for the 2022 - 2027 Term)**

**To: GENERAL MEETING OF SHAREHOLDERS**

Pursuant to:

- The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, the Law on Securities No. 54/2019/QH14 dated November 26, 2019, and its amending, supplementing documents, and guiding implementation documents;
- The applicable Charter of Sai Gon - Ha Noi Securities Joint Stock Company;
- The Notice No. 424/2026/CV-SHS dated March 26, 2026 of the Company's Board of Directors regarding the nomination, candidacy, and additional election of Board of Directors' members for the 2022-2027 term;
- The nomination and candidacy dossiers for members of the Company's Board of Directors for the 2022-2027 term submitted by shareholders and shareholder groups holding SHS shares.

On March 26, 2026, the Board of Directors (BOD) of Saigon – Hanoi Securities Joint Stock Company (the Company/SHS) issued Notice No. 424/2026/CV-SHS (the Notice), inviting shareholders and shareholder groups to nominate or self-nominate qualified candidates, in accordance with applicable laws and the Company's Charter, for membership on the BOD for the 2022–2027 term.

The Company has received nomination and candidacy dossiers from a shareholder group holding more than 10% of the Company's charter capital.

Following a review of eligibility criteria in accordance with applicable laws, the Company's Charter, and internal regulations, the Chairman of the BOD respectfully submits to the General Meeting of Shareholders the list of qualified candidates for the election of additional members to the BOD of SHS for the 2022–2027 term (the "List of Candidates for Additional Election to the BOD for the 2022–2027 Term"), as follows:

List of Candidates for Additional Election to the Board of Directors for the 2022–2027 Term:

Number of Candidates: 01 Person.

<b>Full Name</b>	<b>Current position at SHS</b>	<b>Eligibility Criteria and Requirements for Members of the SHS Board of Directors</b>
<b>(Mr.) Nguyen Duy Linh</b>	Chief Executive Officer – Legal Representative	Meeting all requirements in accordance with applicable laws, the Company's Charter, and internal regulations.

The candidates's briefly Curriculum Vitas are attached to this Proposal.

The Chairman of the Board of Directors reports and respectfully submits to the General Meeting of Shareholders for the implementation of the election in accordance with applicable laws and the Company's Charter.

Best Regards,

Recipients:

- As stated above;
- Information disclosure: SSC; Stock Exchange;
- Archived: BOD's Office. ✓

**CHAIRMAN OF THE BOARD OF DIRECTORS**



**DO QUANG VINH**



Hanoi, April 27, 2026

**CURRICULUM VITAE OF THE CANDIDATE**  
**FOR THE SUPPLEMENTARY ELECTION**  
**OF THE BOARD OF DIRECTORS' MEMBER**  
**FOR THE TERM 2022-2027**



**PERSONAL INFORMATION**

Full name: **NGUYEN DUY LINH** Gender: Male  
Year of birth: 1982 Nationality: Vietnamese  
Academic qualification: Master of Business Administration – Solvay Business School – Université Libre De Bruxelles  
Bachelor of Finance and Credit – Banking University of Ho Chi Minh City

**WORK EXPERIENCE**

No.	Time	Workplace (Name of the organization)	Job Title
1	Aug 2005 – Jun 2009	Vietnam Foreign Trade Commercial Bank Securities Company Limited	- Broker; - Controller
2	Oct 2009- Jun 2013	Ho Chi Minh City Securities JSC	Head of Investment Consulting Department
3	Jul 2013 – Mar 2020	SSI Securities JSC	- Director of Personal Banking Division - Director of Pham Ngoc Thach Branch
4	May 2020 – Jun 2022	Mirae Asset Securities JSC (Vietnam)	Chief of Strategy
5	Jul 2022 – Aug 2022	VNDIRECT Securities JSC	Deputy Chief Executive Officer
6	Apr 2023 – Nov 2024	VPBank Securities JSC	Chairman of the Board
7	Jan 2026 – now	Saigon - Hanoi Securities JSC	Chief Executive Officer – Legal Representative
8	March 2026 – now	Vietnam International Commodity Exchange JSC	Member of the Board of Directors

Current Position at Saigon - Hanoi Securities JSC: Chief Executive Officer – Legal Representative

Position at Other Enterprises/Organizations:

Member of the Board of Directors  
of Vietnam International  
Commodity Exchange JSC

Violations of the Law:

None

Debts owed to the Company:

None

Remuneration and other benefits:

Salary and bonus according to  
the Company regulations

Related Interests in the Company:

None

Number of Shares owned and representation of ownership:

None

Related individuals holding Shares of the Company:

None

